

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Dieter URBAN, et al.

SERIAL NO: 10/585,877

GAU: 1714

FILED: July 11, 2006

EXAMINER:

POLYMER DISPERSIONS OR SOLUTIONS COMPRISING 3,4 DIHYDROXYPHENYL GROUPS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449, some of which are cited in the attached International Search Report, International Preliminary Report on Patentability and Written Opinion. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

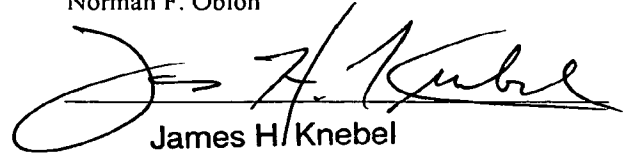
- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.
Norman F. Oblon

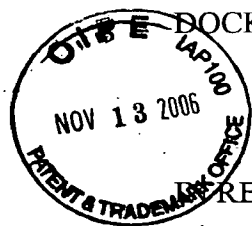

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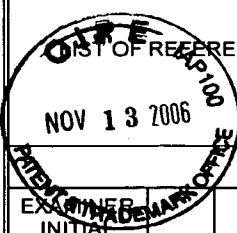
EXAMINER:

FOR: POLYMER DISPERSIONS OR SOLUTIONS COMPRISING 3,4
DIHYDROXYPHENYL GROUPS

STATEMENT OF RELEVANCY

References AO, AP, and AQ on Form PTO-1449:

These references are incorporated in the specification.

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| Form PTO 1449 (Modified) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY DOCKET NO. 293249US0PCT | | SERIAL NO. 10/585,877 | |
| <div style="text-align: center;">  </div> | | | | APPLICANT Dieter URBAN, et al. | | | |
| | | | | FILING DATE July 11, 2006 | | GROUP 1714 | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION YES NO | | |
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| | AU | | | | | | |
| | AV | | | | | | |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | | | |
| /S.S./ | AW | Katsataro AOSHIMA, "Negative-working lithographic printing plate with improved printing durability", DATABASE HCAPLUS [ONLINE], XP002324986, AN-1998:76151, February 9, 1998, Pages 1 through 2. | | | | | |
| /S.S./ | AX | DATABASE REGISTRY [ONLINE], XP002324987, RN: 203179-84-4, March 26, 1998, Page 1 of 1. | | | | | |
| | AY | | | | | | |
| | AZ | | | | | <input type="checkbox"/> Additional References sheet(s) attached | |
| Examiner /Satya Sastri/ | | | | Date Considered 11/03/2009 | | | |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | |